

California Regional Water Quality Control Board

Los Angeles Region



Linda S. Adams
Agency Secretary

320 W. 4th Street, Suite 200, Los Angeles, California 90013
Phone (213) 576-6600 FAX (213) 576-6640 - Internet Address: http://www.waterboards.ca.gov/losangeles

Arnold Schwarzenegger

Governor

December 17, 2010

Mr. Gerald M. Whitehead Morgan Adams, Inc. 915 Wilshire Blvd, Suite 1750 Los Angeles, CA 90017 CERTIFIED MAIL
RETURN RECEIPT REQUESTED
CLAIM NO. 7005 1820 0001 2684 1621

COMPLAINT NO. R4-2010-0158-M FOR MANDATORY MINIMUM PENALTY AGAINST MORGAN ADAMS, INC, ADAMS PLAZA, 1545 WILSHIRE BLVD, LOS ANGELES, CA 90017 (ORDER NO. 97-101, NPDES PERMIT NO. CA0058297, CI-6302)

Dear Mr. Whitehead:

Thank you for the letter from your representative Ms. Nancy Marcus, Esquire, dated September 30, 2010, responding to the Offer to Participate in Expedited Payment Program No. R4-2010-0158-M, which assessed Mandatory Minimum Penalties (MMPs) for late reporting violations.

Subsequent to issuance of Settlement Offer No. R4-2010-0158-M, there have been changes to the California Water Code with respect to assessment of MMPs for late reporting violations. Specifically, the Governor signed Senate Bill (SB) 1284 on September 30, 2010, which in part amends California Water code section 13385.1 to add subdivision (b). Though the provisions of SB 1284 are not effective until January 1, 2011, Regional Board enforcement staff are taking the new provisions into consideration with respect to Settlement Offer No. R4-2010-0158-M.

In summary, SB 1284 provides that "on a one-time basis only, that where a discharger has not previously received notification from the state or regional board of an enforcement action imposing mandatory minimum penalties and where the current violation consists of failure to file discharge monitoring reports for reporting periods where dischargers did not violate numeric effluent limitations, that discharger will be subject to total fines of \$3,000 per required report. After this one-time fine, a discharger, who subsequently fails to file such a report will be fined in accordance to current law. The bill states that regardless of whether the failure to file such reports is subject to the one-time relief provided, the failure to file the required report(s) may be subject to discretionary penalties." [Sen. Rules Com., Off. of Sen. Floor Analyses, Unfinished Business analysis of Sen. Bill No. 1284 (2009-2010 Reg. Sess.) as amended August 20, 3010, p.4, para. 3.]

In light of these new amendments, Regional Board enforcement staff have reevaluated the violations addressed in Settlement Offer No. R4-2010-0158-M and have determined that the Permittee has met the conditions for a one-time relief from cumulative MMPs which accrue each complete period of 30-days a report is late with respect to the following late reports: 4th Quarter 2003 and 1st Quarter 2004. Therefore, in accordance with the new provision of the California Water Code, section 13385.1(b)(1), a single MMP of \$3,000 is now assessed for each of the above-mentioned reports listed in Exhibit "A" – Notice of Violation. However, be advised that future eligible late reports will be assessed MMPs for each complete period of 30 days following the deadline for submitting the report pursuant to California Water Code section 13385.1(a).

Mr. Whitehead Morgan Adams, Inc.

Enclosed is Complaint No. R4-2010-0158-M for Mandatory Minimum Penalty in the amount of \$6,000 against Morgan Adams, Inc. (hereinafter Permittee) for violating waste discharge requirements contained in California Regional Water Quality Control Board, Los Angeles Region Order No. 97-101. Also enclosed is a copy of the Regional Board Notice of Public Hearing to Consider an Administrative Civil Liability Complaint.

Unless waived, a hearing before the Regional Board or a Regional Board Hearing Panel (Hearing Panel) will be held on this Complaint pursuant to California Water Code §§ 13228.14 and 13323. Should the Permittee choose to waive its right to a hearing, an authorized agent must sign the waiver form attached to Complaint No. R4-2010-0158-M and return it to the Regional Board by 5:00 pm on January 18, 2011. If we do not receive the waiver and full payment of the mandatory minimum penalty by January 18, 2011, this matter will be heard before the Regional Board or Hearing Panel. An agenda containing the date, time, location, and specific procedures of the hearing will be mailed to you prior to the hearing date.

If you have any questions regarding this matter, please contact Ms. Laura Drabandt, Staff Counsel, at (916) 341-5180 or Mr. Andrew Choi at (213) 576-6751.

Sincerely,

Samuel Unger, P.E.

Executive Officer

Enclosures:

Complaint No. R4-2010-0158-M

Exhibit "A"

Notice of Public Hearing

cc:

Nancy E. Marcus, Esq., 4227 East Main St., Ste. 210, Ventura, CA 93003 Laura Drabandt, Office of Enforcement, State Water Resources Control Board Jennifer Fordyce, Office of Chief Counsel, State Water Resources Control Board

STATE OF CALIFORNIA REGIONAL WATER QUALITY CONTROL BOARD LOS ANGELES REGION

In the matter of:)	Complaint No. R4-2010-0158-M
)	Mandatory Minimum Penalty for
)	Violation of California Water Code § 13376
Morgan Adams, Inc.	,)	and
Adams Plaza (Presently known as Jamison 1545 Wilshire, LLC))	Order No. 97-101
Los Angeles, CA 90017	.)	(NPDES No. CA0058297)

This Complaint to assess the mandatory minimum penalty pursuant to California Water Code (CWC) § 13385.1 is issued to Morgan Adams, Inc. (hereinafter Permittee) based on a finding of violations of waste discharge requirements prescribed in Order No. 97-101 (National Pollutant Discharge Elimination System (NPDES) No. CA0058297, CI No. 6302).

The Executive Officer of the Regional Water Quality Control Board, Los Angeles Region (Regional Board) finds the following:

- 1. The Permittee formerly operated the Adams Plaza (presently known as Jamison 1545 Wilshire, LLC) located at 1545 Wilshire Boulevard in the City and County of Los Angeles, California. The Permittee discharged up to 7,000 gallons per day (GPD) of groundwater seepage and cooling tower blowdown into a local storm drain located on the site at Union Avenue and Wilshire Boulevard. The wastewater then flowed from the storm drain into Ballona Creek, a navigable water of the United States. The wastewater was susceptible of consisting total suspended solids (TSS) and other pollutants which can degrade water quality and impact beneficial uses of water, and which are defined as wastes under the Porter-Cologne Water Quality Control Act (CWC § 13000 et seq.).
- 2. On July 21, 1997, the Permittee was enrolled under Order No. 97-101 which was adopted by the Regional Board on July 21, 1997.
- 3. Any discharge containing pollutants violating the effluent limitations set in the waste discharge requirements is prohibited by CWC § 13376.
- 4. Among the provisions in the Permittee's waste discharge requirements are the requirements to implement a discharge monitoring program and to prepare and submit monthly NPDES self-monitoring reports to the Regional Board pursuant to the authority of CWC § 13383.
- 5. On September 15, 2010, the Executive Officer of the Regional Board issued the Permittee Settlement Offer No. R4-2010-0158-M to participate in the Expedited Payment

- Program (Settlement Offer). The Settlement Offer included a Notice of Violation notifying the Permittee of eleven (11) late reports that were due on January 15, 2004 and April 15, 2004. All eleven (11) late reporting violations were subject to mandatory minimum penalties (MMP) assessing \$33,000.
- 6. Since issuance of Settlement Offer No. R4-2010-0158-M, there have been changes to California Water Code section 13385.1(b) with the passage of Senate Bill 1284. To comply with the new law, the Regional Board enforcement staff has adjusted the number of violations to two (2) and the penalty to \$6,000.
- 7. Two (2) late self-monitoring reports in violation of Order No. 97-101 were submitted on August 13, 2004. Both violations are subject to MMPs. The violations are identified in Exhibit "A" attached hereto and incorporated herein by reference.
- 8. CWC § 13385.1(a)(1) requires the Regional Board to assess a mandatory minimum penalty of three thousand dollars (\$3,000) for a "serious violation" defined by that section as "a failure to file a discharge monitoring report required pursuant to Section 13383 for each complete period of 30 days following the deadline for submitting the report, if the report is designed to ensure compliance limitations contained in waste discharge requirements that contain effluent limitations. This section applies to violations occurring on or after January 2004.
- 9. The maximum amount of administrative civil liability assessable pursuant to CWC § 13385(c) is \$10,000 per day of violation plus \$10 times the number of gallons by which the volume discharged but not cleaned up exceeds 1,000 gallons.

YOU ARE HEREBY GIVEN NOTICE THAT:

- 10. The Executive Officer proposes that the Permittee be assessed a mandatory minimum penalty in the amount of \$6,000 for the violations which occurred during the January 2004 through April 2004 monitoring periods cited in Exhibit "A". This complaint addresses administrative civil liability for violations that specifically identified in Exhibit "A" as subject to a mandatory minimum penalty. Refer to Exhibit "A" for the calculation of the amount of mandatory minimum penalty.
- 11. The Permittee may waive the right to a hearing and pay the recommended civil liability. Should the Permittee choose to waive its right to a hearing, an authorized agent must sign the waiver form attached to this Complaint and return it to the Regional Board by 5:00 pm on January 18, 2011. If the hearing is waived, a check in the amount of \$6,000 (payable to the State Water Pollution Cleanup and Abatement Account) must be received by the Regional Board by 5:00 pm on January 18, 2011.
- 12. If the Regional Board does not receive a waiver and full payment of the recommended penalty by January 18, 2011, the Complaint will be heard before the Regional Board or Regional Board Hearing Panel pursuant to California Water Code §§ 13228.14 and

- 13323. The Notice of Public Hearing contains that date, time, location, and specific procedures of the scheduled hearing of this matter.
- 13. If a hearing on this matter is held, the Regional Water Board will consider whether to affirm, reject, or modify (i.e. increase the proposed civil liability above the mandatory minimum) the proposed civil liability, or whether to refer the matter to the Attorney General for assessment of judicial civil liability.
- 14. There are no statutes of limitations that apply to administrative proceedings. The statutes of limitations that refer to "actions" and "special proceedings" and are contained in the California Code of Civil Procedure apply to judicial proceedings, not administrative proceeding. See *City of Oakland v. Public Employees' Retirement System* (2002) 95 Cal. App. 4th 29, 48; 3 Witkin, Cal. Procedure (4th ed. 1996) Actions, §405(2), p. 510.)
- Notwithstanding the issuance of this Complaint, the Regional Board shall retain the authority to assess additional penalties for violations of the requirements of the Permittee's waste discharge requirements for which penalties have not yet been assessed or for violations that may subsequently occur.
- 16. This enforcement action is exempt from the provisions of the California Environmental Quality Act, California Public Resources Code § 21000 et seq., in accordance with California Code of Regulations, title 14, § 15321.
- 17. Regulations of the United States Environmental Protection Agency require public notification of any proposed settlement of the civil liability occasioned by violation of the Clean Water Act including NPDES permit violations. Accordingly, interested persons will be given 30 days to comment on any proposed settlement of this Complaint.

Samuel Unger, P.E.

Executive Officer

Los Angeles Regional Water Quality Control Board

December 17, 2010

WAIVER FORM

FOR ADMINISTRATIVE CIVIL LIABILITY COMPLAINT NO. R4-2010-0158-M

By signing this waiver, I affirm and acknowledge the following:

I am duly authorized to represent Morgan Adams, Inc. (hereinafter "Permittee") in connection with Administrative Civil Liability Complaint No. R4-2010-0158-M (hereinafter the "Complaint"). I am informed that California Water Code section 13323, subdivision (b), states that, "a hearing before the regional board shall be conducted within 90 days after the party has been served [with the complaint]. The person who has been issued a complaint may waive the right to a hearing."

- ☐ Check here if the Permittee waives the hearing requirement and will pay the recommended liability.
- a. I hereby waive any right the Permittee may have to a hearing before the Regional Water Board.
- b. I certify that the Permittee will remit payment for the civil liability imposed in the amount of \$6,000 by check that references "ACL Complaint No. R4-2010-0158-M" made payable to the "Cleanup and Abatement Account". Payment must be received by the Regional Water Board by January 18, 2011 or this matter will be placed on the Regional Board's agenda for a hearing as initially proposed in the Complaint.
- c. I understand the payment of the above amount constitutes a proposed settlement of the Complaint, and that any settlement will not become final until after the 30-day public notice and comment period expires. Should the Regional Water Board receive significant new information or comments from any source (excluding the Water Board's Prosecution Team) during this comment period, the Regional Water Board's Executive Officer may withdraw the complaint, return payment, and issue a new complaint. I understand that this proposed settlement is subject to approval by the Regional Water Board, and that the Regional Water Board may consider this proposed settlement in a public meeting or hearing. I also understand that approval of the settlement will result in the Permittee having waived the right to contest the allegations in the Complaint and the imposition of civil liability.
- d. I understand that payment of the above amount is not a substitute for compliance with applicable laws and that continuing violations of the type alleged in the Complaint may subject the Permittee to further enforcement, including additional civil liability.

(Print Name and	Title)	•
1		
(Signature)		
		•

	-								
Reporting Period Violation Type	Violation Type	Due Date	Received Date	Days Late	# of Complete 30-Day Periods	Serious/ Chronic	Water Code Section Amount Per 13385 30-Day Perior	Amount Per 30-Day Period	Penalty
4Q2001	Late Report	01/15/2002	01/21/2003	371	12	Serious	(h)(1)	\$3,000	0\$
1Q2002	Late Report	04/15/2002	01/21/2003	281	6	Serions	(h)(1)	\$3,000	\$0
2Q2002	Late Report	07/15/2002	01/21/2003	190	9	Serions	(h)(1)	. \$3,000	\$0
3Q2002	Late Report	10/15/2002	01/21/2003	86	3	Serious	(h)(1)	. 000,5\$	\$0
. 2Q2003	Late Report	07/15/2003	09/22/2010	2,626	. 87	Serious	(h)(1)	\$3,000	\$0
3Q2003	Late Report	10/15/2003	09/22/2010	2,534	84	Serions	(h)(1)	\$3,000	\$0
4Q2003	Late Report	01/15/2004	08/13/2004	211	7	Serions	(h)(1)	\$3,000	\$3,000
Ann2003	Late Report	03/01/2004	08/13/2004	165	5	Serions	(h)(1)	\$3,000	\$0
1Q2004	Late Report	04/15/2004	08/13/2004	120	4	Serious	(h)(1)	\$3,000	\$3,000
		•					Total		\$6,000

HEARING PANEL OF THE CALIFORNIA REGIONAL WATER QUALITY CONTROL BOARD LOS ANGELES REGION

320 W. 4th Street, Suite 200 Los Angeles, California 90013 (213) 576-6600

ACLC No. R4-2010-0158-M

NOTICE OF PUBLIC HEARING AND HEARING PROCEDURES

TO CONSIDER AN ADMINISTRATIVE CIVIL LIABILITY COMPLAINT AND PROPOSE RECOMMENDATIONS

DISCHARGER

DISCHARGE LOCATION

RECEIVING WATER

Morgan Adams, Inc.

Adams Plaza 1545 Wilshire Blvd Los Angeles, CA 90017 Ballona Creek

Administrative Civil Liability Complaint ("ACLC") No. R4-2010-0158-M alleges that Morgan Adams, Inc. violated Order No. 97-101 by failing to comply with the reporting requirements described in Exhibit "A" in the ACLC. During this time, two (2) violations of Order No. 97-101 were noted in the Permittee's self-monitoring reports. Two (2) reporting violations are subject to mandatory minimum penalties. As stated in the ACLC, Regional Board staff, represented by the Regional Board Staff Prosecution Team (Prosecution Team), recommends that a penalty of \$6,000 be assessed against Morgan Adams, Inc. for these violations.

Pursuant to Water Code section 13228.14, a Hearing Panel consisting of three or more members of the California Regional Water Quality Control Board, Los Angeles Region ("Regional Board") will convene a hearing to hear evidence, determine facts, and to propose a recommendation to the Regional Board about resolution of the ACLC.

This notice sets forth procedures and outlines the process to be used at this hearing.

I. HEARING DATE AND LOCATION

Date: March 17, 2011

Time: 9:00 A.M.

Place: TBD

II. AVAILABILITY OF DOCUMENTS

The ACLC and other documents concerning the subject of the ACLC are available for inspection and copying between the hours of 8:00 a.m. and 5:00 p.m. at the following address:

California Regional Water Quality Control Board Los Angeles Region 320 West 4th Street, Suite 200 Los Angeles, CA 90013

Arrangements for file review and/or obtaining copies of the documents may be made by contacting the Case Manager of the Prosecution Team (identified in section V below). Comments received, the Prosecution Team's proposed Hearing Panel Report and Order, and other subsequent relevant documents will be available as they are received or generated.

The entire file will become a part of the administrative record of this proceeding, irrespective of whether individual documents are specifically referenced during the hearing or contained in the Hearing Panel binder. However, the entire file might not be present at the hearing. Should any parties or interested persons desire that the Prosecution Team bring to the hearing any particular documents that are not included in the Hearing Panel binder, they must submit a written or electronic request to the Case Manager of the Prosecution Team (identified in section V below) so that it is received by 5:00 pm on February 25, 2011. The request must identify the documents with enough specificity for the Prosecution Team to locate them. (Documents in the Hearing Panel binder will be present at the hearing.)

III. NATURE OF HEARING

This will be a formal adjudicative hearing pursuant to section 648 *et seq.* of Title 23 of the California Code of Regulations. Chapter 5 of the California Administrative Procedure Act (commencing with section 11500 of the Government Code) relating to formal adjudicative hearings does not apply to adjudicative hearings before the Regional Board, except as otherwise specified in the above-referenced regulations.

IV. PARTIES TO THE HEARING

The following are the parties to this proceeding:

- 1. Morgan Adams, Inc.
- 2. Regional Board Staff Prosecution Team

All other persons who wish to participate in the hearing as a designated party shall request party status by submitting a written or electronic request to the Legal Advisor to the Hearing Panel identified in section VIII below so that it is received by 5:00 pm on January 31, 2011. All requests for designation as a party shall include the name, phone number, and email address of the person who is designated to receive notices about this proceeding. The request shall also include a

statement explaining the reasons for their request (e.g., how the issues to be addressed in the hearing and the potential actions by the Regional Board affect the person), and a statement explaining why the parties designated above do not adequately represent the person's interest. The requesting party will be notified before the hearing whether the request is granted. All parties will be notified if other persons are so designated.

V. COMMUNICATIONS WITH THE PROSECUTION TEAM

The California Administrative Procedure Act requires the Regional Board to separate prosecutorial and adjudicative functions in matters that are prosecutorial in nature. A Prosecution Team, comprised of Regional Board enforcement and other staff, will serve as the complainant in the proceedings and is a designated party. The Case Manager over this matter, who will coordinate the efforts of the Prosecution Team, is Mr. Andrew Choi, Water Resource Control Engineer. Ms. Laura Drabandt, Staff Counsel from the State Water Resources Control Board's Office of Enforcement will advise the Prosecution Team prior to and at the panel hearing. Neither Ms. Drabandt nor the members of the Prosecution Team will be advising the Regional Board in this matter or have engaged in any substantive conversations regarding the issues involved in this proceeding with any of the Board Members or the advisors to the hearing panel (identified below).

Any communication with the Prosecution Team prior to the hearing should be directed to the Case Manager:

Andrew Choi 320 W. 4th Street, Suite 200 Los Angeles, CA 90013 (213) 576-6751 achoi@waterboards.ca.gov

VI. PUBLIC COMMENTS AND SUBMITTAL OF EVIDENCE

A. Submittals By Parties.

Not later than January 26, 2011, the Prosecution Team will send the parties a preliminary Hearing Panel binder containing the most pertinent documents related to this proceeding and a PowerPoint presentation, which summarizes the evidence and testimony that the Prosecution Team will present and rely upon at the hearing.

Morgan Adams, Inc. is required to submit:

- 1) Any additional documents or evidence the Party wants the Hearing Panel to consider,
- 2) A summary of any legal and technical arguments and testimony the Party intends to present,
- 3) The name of each witness, if any, whom the Party intends to call at the hearing, and
- 4) A statement regarding how much time the Party needs to present the case

to the attention of the Case Manager of the Prosecution Team (as identified above) and other designated parties so that it is received by 5:00 pm on February 16, 2011. All documentation listed above must be received by the deadline, or it may be excluded from consideration by the Hearing Panel. The Prosecution Team shall have the right to present additional evidence in rebuttal of matters submitted by any other party.

The Prosecution Team will send to the Hearing Panel and the parties a final Hearing Panel binder no later than March 7, 2011.

B. Submittals By Interested Persons.

Persons who are not designated as parties, above, that wish to comment upon or object to the proposed ACLC, or submit evidence for the Hearing Panel to consider, are invited to submit them in writing to the Prosecution Team (as identified above). To be evaluated and responded to by the Prosecution Team, included in the final Hearing Panel binder, and fully considered by the Hearing Panel in advance of the hearing, any such written materials must be received by 5:00 pm on January 18, 2011. If possible, please submit written comments in Word format electronically to achoi@waterboards.ca.gov. Interested persons should be aware the Regional Board is entitled to settle this matter without further notice, and therefore a timely submittal by this date may be the only opportunity to comment upon the subject of this ACLC. If the hearing proceeds as scheduled, the Hearing Panel will also receive oral comments from any person during the hearing (see below).

VII. HEARING PROCEDURES

Adjudicative proceedings before the Hearing Panel generally will be conducted in the following order:

Opening statement by Hearing Panel Chair
Administration of oath to persons who intend to testify
Prosecution Team presentation
Discharger presentation
Designated parties' presentation (if applicable)
Interested persons' comments
Prosecution Team rebuttal
Questions from Hearing Panel
Deliberations (in open or closed session)

Announcement of recommendation to the Regional Board

While this is a formal administrative proceeding, the Hearing Panel does not generally require the cross examination of witnesses, or other procedures not specified in this notice, that might typically be expected of parties in a courtroom.

Parties will be advised by the Hearing Panel after the receipt of public comments, but prior to the date of the hearing, of the amount of time each party will be allocated for presentations. That decision will be based upon the complexity and the number of issues under consideration, the

extent to which the parties have coordinated, the number of parties and interested persons anticipated, and the time available for the hearing. The parties should contact the Case Manager by 5:00 pm on February 16, 2011 to state how much time they believe is necessary for their presentations (see Section VI.A above). It is the Regional Board's intent that reasonable requests be accommodated.

Interested persons are invited to attend the hearing and present oral comments. Interested persons may be limited to approximately five (5) minutes each, for their presentations, in the discretion of the Chair, depending on the number of persons wishing to be heard. Persons with similar concerns or opinions are encouraged to choose one representative to speak.

For accuracy of the record, all important testimony should be in writing, and delivered as set forth above. All written materials must be received by the deadlines identified in Section IV.A. and IV.B., above, or it may be excluded from consideration by the Hearing Panel. The Hearing Panel will include in the administrative record written transcriptions of oral testimony or comments made at the hearing.

VIII. COMMUNICATIONS WITH THE HEARING PANEL

A. Ex Parte Communications Prohibited.

As an adjudicative proceeding, Regional Board members and their advisors may not discuss the subject of this hearing with any person, except during the public hearing itself, except in the limited circumstances and manner described in this notice. Any communications to the Regional Board, Hearing Panel, or Hearing Panel Advisors before the hearing must also be copied to the Prosecution Team and other Party(ies), as identified above.

B. Hearing Panel Advisors.

The Hearing Panel will be advised before and during the hearing by Ms. Deborah Smith, and a Legal Advisor, Ms. Jennifer Fordyce, Staff Counsel for the Regional Board. Neither Ms. Smith nor Ms. Fordyce have exercised any authority or discretion over the Prosecution Team, or advised them with respect to this matter.

C. Objections to manner of hearing and resolution of any other issues.

- 1. Parties or interested persons with procedural requests different from or outside of the scope of this notice should contact the Case Manager at any time, who will try to accommodate the requests. Agreements between a party and the Prosecution Team will generally be accepted by the Hearing Panel as stipulations.
- 2. Objections to (a) any procedure to be used or not used during this hearing, (b) any documents or other evidence submitted by the Prosecution Team, or (c) any other matter set forth in this notice, must be submitted in writing and received by the Legal Advisor to the Hearing Panel (identified below) by 5:00 pm on February 16, 2011.

Ms. Jennifer Fordyce
State Water Resources Control Board
1001 I Street, 22nd Floor
Sacramento, CA 95814
(916) 324-6682
ifordyce@waterboards.ca.gov

Untimely objections will be deemed waived. Procedural objections about the matters contained in this notice will not be entertained at the hearing. Further, except as otherwise stipulated, any procedure not specified in this hearing notice will be deemed waived pursuant to section 648(d) of Title 23 of the California Code of Regulations, unless a timely objection is filed.

3. Any issues outside the scope of those described in section C.2, above, that cannot be resolved by stipulation shall be brought to the attention of the Legal Advisor to the Hearing Panel, as set forth in section C.2, by 5:00 pm on February 16, 2011 if possible, and if not possible, then at the earliest possible time with an explanation about why the issue could not have been raised sooner.

IX. QUESTIONS

If you have any questions about this notice, please contact as appropriate, the Case Manager of the Prosecution Team, or the Legal Advisor to the Hearing Panel as described above.

Date: December 17, 2010